

10/594010

IAP9 Rec'd PCT/PTO 25 SEP 2006

Docket No.: 0033-1104PUS1  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Hiroyuki KINOSHITA et al.

Application No.: NEW

Confirmation No.: N/A

Filed: September 25, 2006

Art Unit: N/A

For: PHOSPHOR AND LIGHT-EMITTING  
DIODE

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

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A concise explanation of relevance of the items listed on form PTO/SB/08 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

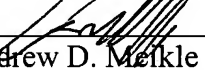
In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: September 25, 2006

Respectfully submitted,

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10/594010

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PTO/SB/08a/b (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	NEW
				Filing Date	September 25, 2006
				First Named Inventor	Hiroyuki KINOSHITA
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	0033-1104PUS1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	J. Edomond et al., Blue/UV emitters form SiC and its alloys, Institute of Physics Conference Series, vol. 137 (Silicon Carbide and Related Materials), 1994, pages 515 to 518	
	CB	Sh. A. NURMAGOMEDOV et al., Optical absorption and luminescence of silicon carbide/aluminum nitride [(SiC) <sub>1-x</sub> (AlN) <sub>x</sub> ] solid solutions, Fizika i Tekhnika Poluprovodnikov (Sankt-Peterburg), vol. 23, no. 1, pages 162 to 164	
	CC	G. K. SAFARALIEV et al., Cathodoluminescence of (SiC) <sub>1-x</sub> (AlN) <sub>x</sub> solid solutions, Fizika i Tekhnika Poluprovodnikov (Sankt-Peterburg), vol. 30, no. 3, 1996, pages 493 to 496	
	CD	V. A. DMITRIEV et al., Silicon carbide-aluminum nitride solid solutions grown by the containerless liquid-phase epitaxy, Pis'ma v Zhurnal Tekhnicheskoi Fiziki, vol. 17, no. 6, 1991, pages 50 to 53	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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